

## iPM Client Profile – First Wilshire Securities Management, Inc.

First Wilshire Securities Management, Inc. is now live with INDATA's Front Office solution delivered through the INDATA iPM – Intelligent Portfolio Management® platform.



Since 1977, First Wilshire, based in Pasadena, CA, has been serving high net-worth individuals and institutional investors. Their individually managed portfolios consist mostly of listed and over-the-counter common securities of publicly traded companies with a focus on small cap.

"Now that we have iPM in place, we will begin to streamline our investment processes. The system proactively alerts us to trade data and compliance issues, which greatly improves our compliance and risk management capabilities," commented Thomas H. Hanson, Senior Vice President and Chief Operations Officer, First Wilshire Securities Management.

Industry demands for greater transparency, enhanced compliance, and increased business agility have driven the development of the iPM platform.

Among the specific iPM scenarios that benefit First Wilshire is the ability to automatically alert operations if back-end data has not been updated or if the data is incorrect. In addition, compliance officers are alerted automatically via email if there are any compliance issues. The system then guides and documents their workflow on the best course of action to address an event.

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Tom Hanson, First Wilshire

### About INDATA iPM

INDATA iPM– Intelligent Portfolio Management® is a platform and set of technologies for buy-side firms that allow end users to collaborate in real-time across the enterprise. iPM is designed to increase end user productivity, enhance the investment process and offers real-time risk, compliance and performance monitoring as well as integrated tools for marketing.

For further information on how iPM can help your firm, contact INDATA Marketing at [www.indataweb.com](http://www.indataweb.com)